

The background of the slide features a collage of various plastic bottles and a metal can. In the upper left, there is a blue aluminum can with a pull-tab lid, showing some text like "Nutrition Facts". To its right and slightly below is a clear plastic bottle with a blue screw-on cap. Further right is a clear plastic bottle with a green screw-on cap. The background is a light blue gradient with faint, overlapping images of more bottles and cans. A white curved line separates the top image area from the text area.

# **Recycling Program Enforcement Branch – Quarterly Statistics**

**July 18, 2017**



# PROBATIONARY REVIEWS

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
DORiis Auto-Generated Cases	207	175	-16
Recycling Centers Visited:	67	65	-3
• Passed	25	11	-56
• Extended	41	54	32
• Recommendation for Revocation	0	0	0
• Decertified during/result of visit	1	0	-100



# PROBATIONARY REVIEWS

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
<b>Certified Facilities Not Visited:</b>	<b>140</b>	<b>110</b>	<b>-21</b>
• RCs Passed	17	1	-94
• RCs Extended	109	80	-27
• Recommendation for Revocation	0	0	0
• Non RCs (PR,CP, SP and CS)	12	25	108
• RCs Decertified / Abandoned	1	2	100
• Ongoing Investigations	1	1	0





# PROBATIONARY REVIEWS

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Total Assessments	\$137,936.47	\$14,200.00	-90
Restitution	\$6,686.47	0	-100
Civil Penalties	\$131,250.00	\$14,200.00	-89



# HANDLING FEE REVIEWS

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Handling Fee Applications Reviewed	45	9	-80
Handling Fee Applications Denied	40	5	-88
Handling Fee Applications Released	5	4	-20

Handling Fees Claimed	\$292,325.90	\$123,049.43	-58
Handling Fees Denied	\$244,900.15	\$87,071.77	-64
Handling Fees Released	\$47,425.75	\$35,977.66	-24



# HANDLING FEE REVIEWS

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Total Assessments	\$3,777.92	\$300.00	-92
Restitution	\$127.92	\$0.00	-100
Civil Penalties	\$3,650.00	\$300.00	-92



# INSPECTIONS

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Recycling Center Inspections	1,070	806	-25
Notices of Non-Compliance Issued	262	193	-26
Notices of Violation Issued	193	181	-6
Civil Penalty Assessments	\$56,700	\$54,000	-5





# INSPECTIONS

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Dealer Inspections	47	60	28
Notices of Non-Compliance Issued	26	22	-15
Notices of Violation Issued	4	15	275
Civil Penalty Assessments	\$500	\$4,600	820
Complaints Resolved	50	29	-42





# INVESTIGATIONS

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Investigations Initiated	24	10	-58
Investigations Closed	11	5	-55
Fraud Detection Reviews Initiated	21	8	-62
Fraud Detection Reviews Closed	6	10	67



# INVESTIGATIONS

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Claimed Volume Reports Initiated	19	49	158
Claimed Volume Reports Closed	11	18	64
Recycler Load Inspection Initiated	25	3	-88
Recycler Load Inspection Closed	10	7	-30



# INVESTIGATIONS

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Call Center Cases Initiated	24	28	17
Call Center Cases Closed	15	14	-7
Load Inspection/Reviews Conducted:	1,099	360	-67
• Denied	18	0	-100
• Reduced	14	3	-79
Participants Placed on Prepayment Control Status	5	0	-100





# INVESTIGATIONS

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Forensic Reviews Completed	23	8	-65
Notices of Noncompliance Issued	73	23	-68
Notices of Violation Issued	35	12	-66
Recommendation to Revoke / Terminate Certification	0	0	0
Recommendation to Deny Certification Applications	2	0	-100
DOJ Referrals	8	16	100



# IMPORTED MATERIALS

IMPORTED MATERIAL REPORT (IMR) STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
IMRs Received	1,949	1,581	-19
- Semi-Trucks	87%	91%	5
- Baled	60%	48%	-20
- Single-Stream	60%	53%	-12



# IMPORTED MATERIALS

IMR STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Nevada	34%	40%	18
Arizona	28%	24%	-14
Utah	16%	14%	-13
Oregon	9%	5%	-44





# PROCESSOR OVERSIGHT

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Investigations Initiated	18	3	-83
Investigations Closed	5	2	-60
Fraud Detection Reviews Initiated	0	0	0
Fraud Detection Reviews Closed	0	0	0



# PROCESSOR OVERSIGHT

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Claimed Volume Reports Initiated	1	2	100
Claimed Volume Reports Closed	0	0	0
Recycler Load Inspection Initiated	1	0	-100
Recycler Load Inspection Closed	3	0	-100



# PROCESSOR OVERSIGHT

STATISTICS	Jan – Mar 2016	Jan – Mar 2017	% Change
Load Inspection/Reviews Conducted:	394	96	-76
• Denied	6	0	-100
• Reduced	1	0	-100





# DOJ INVESTIGATIONS

January – March 2017	Investigations Initiated	Investigations Closed	Arrests
Northern Recycling Team	3	2	0
Southern Recycling Team	8	0	4
Totals:	11	2	4



# **ACCUSATIONS**

**Accusations filed & served January 1 – March 31, 2017:**

**No new accusations were filed & served during this time period.**



# **ACCUSATIONS**

**Accusations resolved from January 1, 2017  
through March 31, 2017~ filed & served after CY  
2013:**

**No new accusations were resolved  
during this time period.**





# ACCUSATIONS

**Unresolved accusations as of March 31, 2017 ~ filed & served after CY 2013:**

Participant Name	Date Filed	Amount Assessed
J & S Recycling	02/07/13	\$206,258.61
USA Recycling	04/24/13	\$790,786.56
Eagle Recycling	07/29/14	\$2,749,279.41
Enviro Recycling	08/24/15	\$1,749,881.00
PNL Recycling	08/26/15	\$2,065,289.00



# ACCUSATIONS

**Unresolved accusations as of March 31, 2017 ~ filed & served after CY 2013 (con't):**

Participant Name	Date Filed	Amount Assessed
Meija's Recycling	10/26/15	\$517,066.72
USA Waste of California	02/16/16	\$3,953,330.26
California Waste Solutions	04/28/16	\$12,433,551.00
Recycling Service Alliance	05/31/16	\$1,420,005.38
	<b>TOTAL:</b>	<b>\$26,066,947.94</b>



# ACCUSATIONS

**Unresolved accusations as of March 31, 2017 ~ filed & served before CY 2013:**

Participant Name	Date Filed	Amount Assessed
Piccadilly Imports, Inc.	12/22/08	\$ 10,990.87
Villanueva Recycling	04/30/10	\$ 149,434.94
	TOTAL:	\$ 160,425.81